# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

Current Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): **July 29, 2020** 

## SURO CAPITAL CORP.

(Exact name of registrant as specified in its charter)

**Maryland** (State or other jurisdiction of incorporation)

1-35156 (Commission File Number) **27-4443543** (I.R.S. Employer Identification No.)

One Sansome Street Suite 730 San Francisco, CA 94104

(Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (650) 235-4769

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provisions	appropriate box below if the Form 8-K is intended is:	to simultaneously satisfy the filing ob	ligation of the registrant under any of the following	
□ Writt	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)			
□ Solic	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)			
□ Pre-c	☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))			
□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))				
	Securities re	gistered pursuant to Section 12(b) of t	he Act:	
	Title of each class:	Trading symbol:	Name of each exchange on which registered:	
_	Title of each class: Common Stock, par value \$0.01 per share	Trading symbol: SSSS		
Rule 12b-	Common Stock, par value \$0.01 per share	SSSS growth company as defined in Rule 40	registered:	
Rule 12b- Emerging If an eme	Common Stock, par value \$0.01 per share  y check mark whether the registrant is an emerging 2 of the Securities Exchange Act of 1934 (17 CFR §  growth company	SSSS growth company as defined in Rule 40, 240.12b-2). e registrant has elected not to use the e	registered:  Nasdaq Capital Market  5 of the Securities Act of 1933 (17 CFR §230.405) or  xtended transition period for complying with any new	

## Item 8.01. Other Events.

On July 29, 2020, SuRo Capital Corp. issued a press release (the "Press Release") announcing that it declared a dividend in the amount of \$0.15 per share of common stock payable on August 25, 2020 to its stockholders of record as of the close of business on August 11, 2020. A copy of the Press Release is included as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated into this Item 8.01 by reference.

## Item 9.01 Financial Statements and Exhibits.

Exhibit No. Description

Exhibit 99.1 Press Release dated July 29, 2020

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: July 29, 2020 SURO CAPITAL CORP.

> By: /s/ Allison Green

> > Allison Green

Chief Financial Officer, Chief Compliance Officer, Treasurer and Corporate Secretary



# SURO

# SuRo Capital Corp. to Report Second Quarter 2020 Financial Results on Wednesday, August 5, 2020

SuRo Capital Declares \$0.15 Per Share Dividend

SAN FRANCISCO, CA, July 29, 2020 (GLOBE NEWSWIRE) – SuRo Capital Corp. ("SuRo Capital" or the "Company") (Nasdaq:SSSS) today announced that it will report its financial results for the quarter ended June 30, 2020 after the close of the U.S. market on Wednesday, August 5, 2020.

Additionally, SuRo Capital's Board of Directors today declared a dividend in the amount of \$0.15 per share, payable on August 25, 2020 to the Company's common stockholders of record as of the close of business on August 11, 2020. SuRo Capital's \$0.15 per share dividend is expected to be reported as a capital gains dividend and treated as a long-term capital gain by stockholders.

Management will hold a conference call and webcast for investors at 2:00 p.m. PT (5:00 p.m. ET). The conference call access number for U.S. participants is 323-794-2093, and the conference call access number for participants outside the U.S. is 866-548-4713. The conference ID number for both access numbers is 3811592. Additionally, interested parties can listen to a live webcast of the call from the "Investor Relations" section of SuRo Capital's website at <a href="https://www.surocap.com">www.surocap.com</a>. An archived replay of the webcast will also be available for 12 months following the live presentation.

A replay of the conference call may be accessed until 5:00 p.m. PT (8:00 p.m. ET) on August 12, 2020 by dialing 888-203-1112 (U.S.) or +1 719-457-0820 (International) and using conference ID number 3811592.

## **Certain Information Regarding the Dividend**

The date of declaration and amount of the dividend are subject to the sole discretion of SuRo Capital's Board of Directors. The aggregate amount of the dividend declared and paid by SuRo Capital will be fully taxable to stockholders. The tax character of SuRo Capital's dividends cannot be finally determined until the close of SuRo Capital's taxable year (December 31). SuRo Capital will report the actual tax characteristics of each year's dividends annually to stockholders and the IRS on Form 1099-DIV subsequent to year-end.

Registered stockholders with questions regarding declared dividends may call American Stock Transfer at 800-937-5449.

## About SuRo Capital Corp.

SuRo Capital Corp. (Nasdaq:SSSS) is a publicly traded investment fund that seeks to invest in high-growth, venture-backed private companies. The fund seeks to create a portfolio of high-growth emerging private companies via a repeatable and disciplined investment approach, as well as to provide investors with access to such companies through its publicly traded common stock. SuRo Capital is headquartered in San Francisco, CA. Connect with the company on Twitter, LinkedIn, and at <a href="https://www.surocap.com">www.surocap.com</a>

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## Contact

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