FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Vashington, I | D.C. | 20549 |
|---------------|------|-------|

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     LOTT RONALD M.   |  |         |         | SU                                      | 2. Issuer Name and Ticker or Trading Symbol SURO CAPITAL CORP. [ SSSS ] |  |   |  |  |   |                    |                                   |  | ck all app   | tionship of Reportir<br>all applicable)<br>Director |   | 10% Ov  | wner               |         |
|--|--|---------|---------|---|---|--|---|--|--|---|--------------------|-----------------------------------|--|--|---|---|---|--------------------|---------|
| (Last)   | (Fi  | rst) (N | Middle) |   | 3. Date of Earliest Transaction (Month/Day/Year) 06/05/2024             |  |   |  |  |   |                    |                                   |  |  | Office<br>below                                     | er (give title<br>v)  |   | Other (s<br>below) | specify |
| C/O SURO CAPITAL CORP.<br>640 FIFTH AVENUE, 12TH FLOOR     |  |         |         | 4. If A                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                |  |   |  |  |   |                    |                                   | 6. Inc                                       |  |   |   |   | .                  |         |
| (Street)<br>NEW YO   | reet)<br>EW YORK NY 10019  |         |         | Rul                                     | Rule 10b5-1(c) Transaction Indication                                   |  |   |  |  |   |                    |                                   |  | Form filed by More than One Reporting Person   |   |   |   |                    |         |
| (City)   | (St  | ate) (2 | Zip)    |   |   | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |   |  |  |   |                    |                                   |  |  |   |   | nded to   |                    |         |
|  |  | Table   | I - Noi | n-Deriva                                | tive S  | Secu   | rities                                  | Acq  | uired,   | Dis   | posed of           | , or E                            | 3ene   | ficial   | ly Own  | ed  |   |                    |         |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da |  |         |         | Execution Da                            |   | Date,  | 3.<br>Transaction<br>Code (Instr.<br>8) |  | 4. Securities Acquired (ADisposed Of (D) (Instr. 35) |   |                    | , 4 and Securi<br>Benefi<br>Owned |  | ties<br>cially<br>Following  | Form<br>(D) o                                       | n: Direct<br>or Indirect<br>nstr. 4)                              | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |                    |         |
|  |  |         |         |   |   |  |   | Code   | v  | Amount  | (A)<br>(D)         | or F                              | Price  |  | action(s)<br>3 and 4)                               |   |   | (Instr. 4)         |         |
| Common Stock 06/05/2                                       |  |         |         |   | 2024  |  |   | <b>A</b> <sup>(1)</sup>  |  | 12,048  | 1                  | 4                                 | \$0  |  | 39,311  |   | D   |                    |         |
|  | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |         |         |   |   |  |   |  |  |   |                    |                                   |  |  |   |   |   |                    |         |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)        | erivative Conversion Date Execution Date, or Exercise (Month/Day/Year) if any  |         |         | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5)  |   | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |  | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Inst<br>3 and 4) |                    | S<br>(I                           | Price of<br>erivative<br>ecurity<br>nstr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | у   | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)               |                    |         |
|  |  |         |         |   | Code V  |  | (A)                                     | (D)  |  |   | Expiration<br>Date | Title                             | Amor<br>or<br>Numi<br>of<br>Share            | ber  |   |   |   |                    |         |

## **Explanation of Responses:**

1. Relates to restricted shares granted under the SuRo Capital Corp. Amended and Restated 2019 Equity Incentive Plan, which vest in full on the earlier of (i) the first anniversary of the award date (with such first anniversary being June 5, 2025) and (ii) the date of SuRo Capital Corp.'s (the "Company's") annual meeting of stockholders that is closest to the first anniversary of the award date.

/s/ Ronald M. Lott

06/0<u>7/2024</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.